

# THE MÖBIUS FUNCTION ON A POSET

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Though the analysis here is self-contained, we give a reference: Chapter 3 of [Stan].

## 1. SETUP

For this section, we fix a commutative ring  $A$  with unity, an  $A$ -(bi)module  $M$  (since  $A$  is commutative), and a partially ordered set  $(X, \leq)$  that is *locally finite* (this is defined presently). In order to define (and prove stuff about) the Möbius function on  $X$ , we first look at a set of functions with a group structure of convolution on it, just like in the classical case of arithmetic functions on natural numbers.

**Definitions.** Suppose  $(X, \leq)$  is a partially ordered set.

- (1) We say  $X$  is *locally finite* if for all  $x \leq y$  in  $X$ , the interval  $[x, y] := \{z \in X : x \leq z \leq y\}$  is finite.
- (2) Define  $I \subset X \times X$  to be the set of pairs  $(x, y)$  so that  $x \leq y$ .
- (3) Now define  $\mathcal{B}$  to be the set of functions  $f : I \rightarrow A$  (if desired, they can be extended to  $f : X \times X \rightarrow A$  by setting  $f(x, y) = 0$  if  $(x, y) \notin I$ ).

Also define  $\mathcal{M}$  to be the set of functions from  $I$  to  $M$ .

- (4) Say  $X$  is locally finite. We then define the *convolution operation*  $* : \mathcal{B} \times \mathcal{M} \rightarrow \mathcal{M}$  sending  $(f, g) \in \mathcal{B} \times \mathcal{M}$  to  $f * g = f \cdot g$ , by

$$(f * g)(x, y) = \sum_{z \in [x, y]} f(x, z)g(z, y)$$

(Note that each such sum is only over finitely many terms.) We can similarly define the convolution operation  $* : \mathcal{M} \times \mathcal{B} \rightarrow \mathcal{M}$ .

- (5) We will also need to consider the subclass  $\mathcal{I}$  of functions  $f \in \mathcal{B}$  such that  $f(x, x) \in A^\times$  for all  $x \in X$ .

A special case of such an operation is when we take  $M = A$ , and  $\mathcal{M} = \mathcal{B}$ . This gives  $* : \mathcal{B} \times \mathcal{B} \rightarrow \mathcal{B}$ , and we presently show that under this operation,  $\mathcal{B}$  is a monoid.

**Example.** The Möbius function (among all arithmetic functions) is one such example, where we have  $X = \mathbb{N}$  and  $A = M = \mathbb{Z}$ . The partial order on  $\mathbb{N}$  is the order  $x \leq y$  iff  $x|y$ . This satisfies all the conditions above, and given  $x \leq y$  we define  $f \in \mathcal{B}$  from  $\mathbb{N}$  to  $\mathbb{Z}$  by  $f(x, y) := f(y/x)$ . Then the convolution operation is the standard one:

$$(f * g)(x, y) = \sum_{z \in [x, y]} f(x, z)g(z, y) = \sum_{(z/x)|(y/x)} f(z/x)g(y/z) = \sum_{d|n} f(d)g(n/d)$$

where  $n = y/x$  and  $d = (z/x)|n$  (so that  $n/d = y/z$ ). The analysis of the Möbius function done below, now specializes to exactly the classical analysis of the Möbius function for  $\mathbb{N}$ .

## 2. THE MAIN RESULT

**Theorem.**

- (1)  $(\mathcal{B}, +, *)$  is a ring, whose group of units (i.e.  $*$ -invertible elements) is  $\mathcal{B}^\times = \mathcal{I}$ .
- (2)  $\mathcal{M}$  is a left- and a right- module over  $\mathcal{B}$ .

*Proof.* We first observe that  $\mathcal{B}, \mathcal{M}$  are  $A$ -modules, under the obvious addition (pointwise over  $I$ ) and scalar left-multiplication by  $a \in A$ . It is also easy to check that  $*$  distributes over  $+$ .

Next, we show that  $*$  is associative. Given  $f, g \in \mathcal{B}$  and  $m \in \mathcal{M}$ , we compute for  $x \leq y$ :

$$\begin{aligned} ((f * g) \cdot h)(x, y) &= \sum_{x \leq z \leq y} (f * g)(x, z)h(z, y) = \sum_{x \leq w \leq z \leq y} f(x, w)g(w, z)h(z, y) \\ (f \cdot (g \cdot h))(x, y) &= \sum_{x \leq w \leq y} f(x, w)(g \cdot h)(w, y) = \sum_{x \leq w \leq z \leq y} f(x, w)g(w, z)h(z, y) \end{aligned}$$

Setting  $M = A$ , we get associativity for  $*$  in  $\mathcal{B}$ .

We now claim that  $\mathcal{B}$  has a two-sided identity under  $*$ . This is shown by defining  $e(x, y) = \delta_{x,y}$ . We now have (for  $x \leq y$ ):

$$(f * e)(x, y) = \sum_{x \leq z \leq y} f(x, z)e(z, y) = \sum_{x \leq z \leq y} f(x, z)\delta_{z,y} = f(x, y)\delta_{y,y} = f(x, y)$$

and similarly we show that  $(e * f) = f$ , whence  $e$  is the two-sided identity in  $\mathcal{B}$ . The proof that  $e \cdot h = h$  for all  $h \in \mathcal{M}$  is similar too. This completes the proof that  $\mathcal{B}$  is a ring, as well as the fact that  $\mathcal{M}$  is a left-module over  $\mathcal{B}$ . The proof that  $\mathcal{M}$  is a right-module is similar. Note, though, that  $\mathcal{B}$  is not commutative in general (this depends on the poset structure of  $X$ ), and hence  $\mathcal{M}$  is not a  $\mathcal{B}$ -bimodule.

Before showing that  $\mathcal{I}$  is precisely the set of invertible functions (or units) in  $\mathcal{B}$ , let us remark that if any  $f$  in a monoid  $(\mathcal{B}, *, e)$  has a left or a right inverse, namely  $g_L = g$  or  $g_R = g$  respectively, then both inverses exist, and  $g_L = g_R = g$ . This is standard, because by associativity of  $*$ , we have

$$g_L = g_L * e = g_L * (f * g) = (g_L * f) * g = e * g = g = \dots = g_R$$

Finally, we consider invertible elements in  $\mathcal{B}$ . We first claim that if  $f \in \mathcal{B}$  is invertible, then  $f(x, x) \in A^\times$ . To see this, if  $g = f^{-1}$ , then evaluating  $f * g = e$  at  $(x, x)$  for any  $x$ , we have

$$1 = e(x, x) = (f * g)(x, x) = \sum_{x \leq z \leq x} f(x, z)g(z, x) = f(x, x)g(x, x)$$

(The proof for  $g * f = e$  is the same.)

The converse is harder to show. Suppose  $f(x, x) \in A^\times$  for all  $x \in X$ . We now inductively define a *right-inverse*  $g_R$  to  $f$  at  $(x, y)$  for all  $x \leq y$ , where we apply induction on  $|[x, y]|$  (i.e. the size of the interval  $[x, y]$ , or the number of elements  $z$  so that  $x \leq z \leq y$ ). For  $|[x, y]| = 1$ , the only possibility is when  $x = y$ , and we define  $g_R(y, y) = f(y, y)^{-1} \in A^\times$ .

Now suppose that we have defined  $g_R(x, y)$  for all  $x \leq y$ , where  $|[x, y]| < n$  for some  $n > 0$ . Consider any  $x \leq y$  such that  $|[x, y]| = n$ . We then define

$$g_R(x, y) := f(x, x)^{-1}[\delta_{x,y} - \sum_{x < z \leq y} f(x, z)g_R(z, y)]$$

(Note that this implies that

$$f(x, x)g_R(x, y) + \sum_{x < z \leq y} f(x, z)g_R(z, y) = \delta_{x, y}$$

i.e.  $(f * g_R)(x, y) = e(x, y)$  as desired.)

Moreover, the above definition makes sense, since each summand on the right side is already defined, since  $||[z, y]| < |[x, y]| = n$  (this is because  $x < z$ , so  $[z, y] \subset [x, y]$ , but  $x \notin [z, y]$ ). We also observe that this definition is forced upon us by the equation  $f * g_R = e$ .

The proof for the existence of a left-inverse  $g_L$  is similar. Thus both inverses exist iff  $f \in \mathcal{I}$ , and by the above remarks they must coincide. Hence  $\mathcal{I}$  is indeed the group of units in  $\mathcal{B}$  (it is now standard to show that the inverse is unique etc.)

□

### 3. MÖBIUS INVERSION FORMULAE

We next show the Möbius inversion formula - or two versions of it (the first version is stated in a “left” as well as a “right” way).

**Proposition 1.** *Henceforth, let  $M$  merely denote an abelian group.*

- (1) *There exists a unique function  $\mu : X \times X \rightarrow A$ , called the Möbius function, so that  $\mu(x, y) = 0$  unless  $x \leq y$ , and*

$$\sum_{x \leq z \leq y} \mu(x, z) = \delta_{x, y}$$

*Moreover,  $\mu$  actually has values in  $\mathbb{Z}$  (or its image in  $A$ ), and also satisfies the “dual” identity, namely:*

$$\sum_{x \leq z \leq y} \mu(z, y) = \delta_{x, y} \quad \forall x \leq y$$

- (2) (Möbius inversion formula 1.) *If  $f : I \rightarrow M$ , define  $h_L(x, y) := \sum_{x \leq z \leq y} f(z, y)$  and  $h_R(x, y) := \sum_{x \leq z \leq y} f(x, z)$ . Then*

$$f(x, y) = \sum_{x \leq z \leq y} \mu(x, z)h_L(z, y) = \sum_{x \leq z \leq y} \mu(z, y)h_R(x, z)$$

- (3) (Möbius inversion formula 2.) *Suppose for each  $x \in X$ , that the set  $\{y \in X : y \leq x\}$  is finite. If  $F : X \rightarrow M$ , define  $H_R(x) := \sum_{y \leq x} F(y)$ . Then*

$$F(x) = \sum_{z \leq x} \mu(z, x)H_R(z)$$

We show another example of Möbius inversion below, after the proof.

*Proof.* Firstly, note for the two inversion formulas, that the expression makes sense since  $\mu$  takes values in  $\mathbb{Z}$  by the first part. Moreover, we can write  $\mu$  to the left or right since  $M$  is a  $\mathbb{Z}$ -bimodule (since  $\mathbb{Z}$  is commutative).

Next, let us define the function  $U : I \rightarrow A$  by  $U \equiv 1$ . Thus  $U \in \mathcal{I}$ .

- (1) The two desired identities are merely saying that  $\mu * U = U * \mu = e$  in  $\mathcal{B}$ . This unique two-sided inverse to  $U$  under  $*$  in  $\mathcal{B}$  now exists by the previous theorem. Moreover, since  $U \in \mathcal{B}_{\mathbb{Z}} := \{f : X \rightarrow \mathbb{Z}\}$ , hence we also have  $\mu = U^{-1} \in \mathcal{B}_{\mathbb{Z}}$ . Note here that  $\mathcal{B}_{\mathbb{Z}} \subset \mathcal{B}$  since we have  $\varphi : \mathbb{Z} \rightarrow A$ , sending  $1 \mapsto 1$ , which sends  $f : X \rightarrow \mathbb{Z}$  to  $\varphi \circ f : X \rightarrow \mathbb{Z} \rightarrow A$ .
- (2) This assertion is also clear, since we clearly have  $h_L = U * f$  in the left  $\mathcal{B}_{\mathbb{Z}}$ -module  $\mathcal{M}$ , and  $h_R = f * U$  in the right  $\mathcal{B}_{\mathbb{Z}}$ -module  $\mathcal{M}$ . By the module structure, we thus have

$$f = e * f = (\mu * U) * f = \mu * (U * f) = \mu * h_L$$

which is exactly what is claimed. The proof that  $f = h_R * \mu$  is similar.

- (3) One way to verify this is to use directly compute, noting that each sum is finite by our assumption on  $X$ :

$$\begin{aligned} \sum_{z \leq x} \mu(z, x) H_R(z) &= \sum_{z \leq x} \mu(z, x) \sum_{y \leq z} F(y) = \sum_{y \leq z \leq x} F(y) \mu(z, x) = \sum_{y \leq x} F(y) \sum_{z \in [y, x]} \mu(z, x) \\ &= \sum_{y \leq x} F(y) \delta_{y, x} = F(x) \end{aligned}$$

where we use the first part of the identity (or perhaps the dual of it) for one of the steps.

The other way to verify these formulae are to use a slightly different poset, and the verified module structure and Möbius function on that poset.

We attach a *least* element  $0$  to  $X$ , to get another poset  $X' = X \cup \{0\}$  with  $0 < x \forall x \in X$ . Note then that we can extend  $U$  to  $U' \equiv 1$  on  $X'$ , and the function  $\mu$  on  $X$  also extends to  $\mu'$ . In other words, the inverse of  $U'$  in  $\mathcal{B}_{\mathbb{Z}, X'}$  restricts to  $\mu$  on  $X$  - this follows from the uniqueness property of  $\mu$ .

We now define  $f : I_{X'} \rightarrow \mathbb{Z}$  by  $f(0, x) = F(x)$  for all  $x \in X$ , and any arbitrary values for the others (as we shall see, the only value that might matter is that of  $f(0, 0)$ , but even this does not matter!). We also define  $H_R(0) = F(0) := f(0, 0)$ . For  $x \in X$ , we then have

$$\begin{aligned} H_R(x) &= \sum_{y \leq x} F(y) = \sum_{0 < y \leq x} f(0, y) = \sum_{0 \leq y \leq x} f(0, y) U(y, x) - f(0, 0) U(0, x) \\ &= (f * U)(0, x) - f(0, 0) \end{aligned}$$

For  $x = 0$ , we also observe that

$$(f * U)(0, 0) = f(0, 0) U(0, 0) = f(0, 0) = F(0) = H_R(0)$$

if we extend  $U$  to  $U' \equiv 1$  on  $X'$ . Using these equations, we now compute the desired expression:

$$\begin{aligned}
\sum_{z \leq x} \mu(z, x) H_R(z) &= \sum_{0 < z \leq x} \mu(z, x) H_R(z) \\
&= \sum_{0 < z \leq x} \mu(z, x) [(f * U)(0, z) - f(0, 0)] + \mu(0, x) H_R(0) - \mu(0, x) H_R(0) \\
&= \sum_{0 \leq z \leq x} \mu(z, x) (f * U)(0, z) - f(0, 0) \sum_{0 < z \leq x} \mu(z, x) - \mu(0, x) f(0, 0) \\
&= ((f * U) * \mu)(0, x) - f(0, 0) \sum_{0 \leq z \leq x} \mu(z, x) \\
&= f(0, x) - f(0, 0) \sum_{0 \leq z \leq x} U'(0, z) \mu'(z, x) \\
&= F(x) - (U' * \mu')(0, x) = F(x) - \delta_{0, x} = F(x)
\end{aligned}$$

since  $x \in X$ . Hence we are done. (Also observe that the proof is independent of the specific other values chosen for  $f$  at various points in  $I \subset X \times X$ .)

Note also, that if  $X$  has the property that for any  $x \in X$ , the set  $R_x := \{y \in X : y \geq x\}$  is finite, then one can define  $H_L$  and carry out a similar analysis for the “other-handed” case here. To show this left-handed version, we work instead with a different poset  $X'' := X \cup \{\infty\}$ , with  $x < \infty \forall x \in X$ . The equations and proof are similar.  $\square$

#### 4. SOME EASY RESULTS

We now have the following corollary to the Möbius inversion formula:

**Corollary 1.** *For all  $x \leq y \in X$ , we have*

$$\sum_{x \leq z \leq y} \mu(x, z) |[z, y]| = \sum_{x \leq z \leq y} \mu(z, y) |[x, z]| = 1$$

where  $|[x, y]|$  is the size of that interval in  $X$  (and finite by assumption).

*Proof.* Let us evaluate  $(U * U)$  at any point of  $I$ . We have

$$(U * U)(x, y) = \sum_{x \leq z \leq y} U(x, z) U(z, y) = \sum_{x \leq z \leq y} 1 = |[x, y]|$$

and therefore the claimed result just says that  $(\mu * (U * U))(x, y) = 1 = U(x, y)$ , and that  $((U * U) * \mu)(x, y) = 1 = U(x, y)$ . This follows from Möbius inversion, as above.  $\square$

We next compute the Möbius function over small posets.

**Proposition 2.** *If  $x, y, z \in X$ , with  $[x, y] = \{x, y\}$  and  $[x, z] = \{x, y, z\}$ , then  $\mu(x, x) = 1$ ,  $\mu(x, y) = -1$ , and  $\mu(x, z) = 0$ .*

*Proof.* This is trivial, if we just compute that  $(U * \mu)(x, x) = 1$ ,  $(U * \mu)(x, y) = (U * \mu)(x, z) = 0$ , and expand these out.  $\square$

Finally, we show an easy result (that applies to the example  $X = \mathbb{Z}$ , among others) that implies the commutativity of  $\mathcal{B}$ .

**Lemma.** *Suppose for each  $x \leq y$  in  $X$ , we have a permutation  $\sigma_{x,y}$  of the finite set  $[x, y]$ , that interchanges  $x$  and  $y$ . Now define  $I'$  to be the quotient of  $I = \{(x, y) \in X \times X : x \leq y\}$  by the relations  $\{(x, z) = (\sigma_{x,y}(z), y) \text{ for all } x \leq y \leq z \in X\}$ , and suppose  $f, g : I' \rightarrow A$ . Then  $f * g = g * f$ .*

As an example, consider  $X = \mathbb{Z}$ . We know that  $f(x, y) = f(y/x)$ , and we define  $\sigma_{x,y}(z) = xy/z$  for all  $x|z|y$ . Then we verify that  $\sigma_{x,y}^2(z) = z$  for all  $z \in [x, y]$ . Moreover, the relation says that

$$f(z/x) = f(x, z) = f(\sigma_{x,y}(z), y) = f(y/\sigma_{x,y}(z)) = f(y/[xy/z]) = f(z/x)$$

as it should.

*Proof.* This is easy: we use the fact that summing over  $z \in [x, y]$  is the same as summing over  $\sigma_{x,y}(z)$ , by the given assumptions. Hence we compute, for general  $x \leq y \in X$ , using the given properties:

$$\begin{aligned} (f * g)(x, y) &= \sum_{z \in [x, y]} f(x, z)g(z, y) = \sum_{z \in [x, y]} f(\sigma_{x,y}(z), y)g(x, \sigma_{x,y}(z)) \\ &= \sum_{\sigma_{x,y}(z) \in [x, y]} g(x, \sigma_{x,y}(z))f(\sigma_{x,y}(z), y) = (g * f)(x, y) \end{aligned}$$

and since this holds for all  $x \leq y$ , we are done.  $\square$

## 5. EXAMPLES

**Example 1: The classical Möbius function.** (We prove this result below, using results on functoriality, and the next example.) Let  $(X, \leq)$  be the set  $\mathbb{N}$  with the partial order of divisibility. Then it is well-known that the Möbius function here (for any  $d, n \in \mathbb{N}$ ) is

$$\mu_{\mathbb{N}}(n) = \mu(d, dn) = \begin{cases} 1 & \text{if } n = 1 \\ (-1)^r & \text{if for some distinct primes } p_1, \dots, p_r, n = p_1 \dots p_r \\ 0 & \text{otherwise} \end{cases}$$

**Example 2: Another poset structure for the natural numbers.** We now endow  $\mathbb{N}$  with the usual partial - or total, in this case - order inherited from  $\mathbb{R}$ . We now present its Möbius function:

**Proposition 3.** *For  $m \leq n$ , the Möbius function is*

$$\mu(m, n) = \begin{cases} 1 & \text{if } n - m = 0 \\ -1 & \text{if } n - m = 1 \\ 0 & \text{otherwise} \end{cases}$$

*Proof.* This will follow from the results on functoriality that we present below, but here is the proof anyways. Firstly,  $\mu(m, m) = 1$  and  $\mu(m, m + 1) = -1$  for all  $m$ , by a proposition above. Next, we claim by induction that  $\mu(m, m + 1 + n) = 0$  for all  $n \in \mathbb{N}$ . The base case of  $n = 1$  also follows from the proposition above. The poset structure gives us merely that

$$\begin{aligned} 0 &= (U * \mu)(m, m + 1 + n) = \mu(m, m) + \mu(m, m + 1) + \sum_{j=0}^{n-1} \mu(m, m + 2 + j) \\ &= \sum_{j=0}^{n-2} \mu(m, m + 2 + j) + \mu(m, m + n + 1) = \mu(m, m + n + 1) \end{aligned}$$

□

**Example 3: Finite subsets of a set.** For any set  $S$ , its power set  $\mathcal{P}(S)$  is a poset, with inclusion as the partial order. If we look at the set of finite subsets of  $S$ , then this is clearly an interval-finite poset. (This equals the entire power set if  $S$  is finite.) Let us determine the Möbius function of this poset.

**Proposition 4.** For  $V \subset W \subset S$  with  $W$  finite, the Möbius function is  $\mu(V, W) = (-1)^{|V|+|W|}$ .

*Proof.* The proof is by induction on  $n = |W| - |V|$ . For  $n = 0$ , we have  $V \subset W$  and  $|V| = |W|$ , hence  $V = W$ . But then  $\mu(V, V) = \mu(V, V)U(V, V) = (\mu * U)(V, V) = 1$ , as desired. Now suppose we know the result for all  $n < K$ , and let  $|W| - |V| = K$ . Then we have

$$\sum_{Z \in [V, W]} \mu(V, Z) = 0$$

so we get that

$$\mu(V, W) = - \sum_{Z \in [V, W]} \mu(V, Z)$$

Now note that if  $W = V \amalg \{s_1, \dots, s_K\}$  (where  $s_i \in S$ ), then the subsets  $Z \in [V, W]$  are characterized exactly by the  $s_i$ 's that are contained in  $Z$ . Thus for all  $0 \leq j \leq K$ , there are exactly  $\binom{K}{j}$  subsets  $Z$  of  $W$ , that contain exactly  $j$  of the  $s_i$ 's. And for each of these  $Z$ 's, we have  $\mu(V, Z) = (-1)^j$ , by the induction hypothesis. In particular, we have

$$\mu(V, W) = - \sum_{V \leq Z < W} \mu(V, Z) = - \sum_{j=0}^{K-1} \binom{K}{j} (-1)^j = -(1-1)^K + (-1)^K = (-1)^K$$

and hence we are done, since  $(-1)^K = (-1)^{|W|-|V|} = (-1)^{|W|+|V|}$ . □

**Example 4: The Bruhat order.** Let  $X = W$  be any Coxeter group, with  $\leq$  the Bruhat order on it. It is stated in [Hum], that  $\mu(x, z) = (-1)^{l(x)+l(z)}$  for all  $x \leq z$  in  $W$ .

**Example 5: Möbius functions with any integer value.** We could ask the question, given the above examples: Does the Möbius function, which is integer-valued, only take on the values 0 and  $\pm 1$ ?

The answer is no: let us construct a two-parameter family of posets  $X_{m,n}$ , each with unique extremal elements  $x, y$ , with various values of  $\mu(x, y)$ .

Given  $m, n \geq 0$ , define a poset structure on the set

$$X_{m,n} := \{x, w_1, w_2, \dots, w_{m+1}, z_1, \dots, z_{n+1}, y\}$$

by:  $x < w_j < z_i < y \forall i, j$ .

We now compute the various  $\mu$ -values. Firstly,  $\mu(x, x) = \mu(w_j, w_j) = \mu(z_i, z_i) = \mu(y, y) = 1$  and  $\mu(x, w_j) = \mu(w_j, z_i) = \mu(z_i, y) = -1$ , by a proposition above, for all  $i, j$ . Next, we have

$$\mu(x, z_i) = -\mu(x, x) - \sum_j \mu(x, w_j) = -1 - (m+1)(-1) = m$$

for all  $i$ . Similarly, for each  $j$ , we have

$$\mu(w_j, y) = -\mu(w_j, w_j) - \sum_i \mu(w_j, z_i) = -1 - (n+1)(-1) = n$$

Finally, we compute  $\mu(x, y)$ . This equals

$$\begin{aligned} -\mu(x, x) - \sum_j \mu(x, w_j) - \sum_i \mu(x, z_i) &= -1 - (m+1)(-1) - (n+1)m \\ &= -1 + m + 1 - (n+1)m = -mn \end{aligned}$$

Thus, the Möbius function can assume all possible integer values.

## 6. FUNCTORIALITY

We now relate the Möbius functions in several different setups.

### Proposition 5.

- (1) If  $\varphi : X \rightarrow Y$  is an isomorphism of locally finite posets, then for all  $x, x' \in X$ , we have:  $\mu_X(x, x') = \mu_Y(\varphi(x), \varphi(x'))$ .
- (2) If  $X \subset Y$  is "interval-closed" (i.e. if  $x, y \in X$  then  $[x, y]_Y \subset X$ ), and  $Y$  is locally finite, then  $\mu_X = \mu_Y|_X$ .
- (3) If  $X_i$  are locally finite posets, then the disjoint union  $X = \coprod_i X_i$  is also a locally finite poset, with Möbius function equal to

$$\mu(x_i, x_j) = \delta_{ij} \mu_{X_i}(x_i, x_j)$$

where  $x_i \in X_i, x_j \in X_j$ .

- (4) If  $X_i$  are (finitely many) locally finite posets, then their product  $X = \prod_i X_i$  (together with the partial order  $(x_i)_i \leq (y_i)_i$  iff  $x_i \leq_i y_i \forall i$ ) has Möbius function

$$\mu((x_i)_i, (y_i)_i) = \prod_i \mu_i(x_i, y_i)$$

*Proof.* (1) This is obvious - and is also a consequence of the next part!

- (2) We need to show that  $\mu_X(x, y) = \mu_Y(x, y)$  for  $x, y \in X$ . Note that  $[x, y]_X = [x, y]_Y$ , whence it is easy to see that  $\mu_X(x, y) = \mu_{[x, y]}(x, y) = \mu_Y(x, y)$ .

- (3) This is also easy to see, since the intervals are of the form  $[x_i, x'_i] = [x_i, x'_i]_{X_i}$  for all  $i$  and all  $x_i, x'_i \in X_i$ .



- (4) We claim, firstly, that each interval is the product of the respective intervals. In other words, given  $x_i \leq_i y_i$  in  $X_i$  for all  $i$ , we claim that  $[(x_i)_i, (y_i)_i]_X = \prod_i [x_i, y_i]_{X_i}$ . This is because we have

$$(x_i)_i \leq (z_i)_i \leq (y_i)_i \iff x_i \leq z_i \leq y_i \quad \forall i$$

We now claim that  $\prod_i \mu_i$  is a (and hence “the”) Möbius function on  $X$ , for we compute that  $\mu((x_i)_i, (x_i)_i) = \prod_i \mu_i(x_i, x_i) = \prod_i 1 = 1$ , and for  $(x_i)_i < (y_i)_i$ , there is a  $j$  so that  $x_j < z_j$ , so

$$\begin{aligned} \sum_{(x_i)_i \leq (z_i)_i \leq (y_i)_i} \mu((x_i)_i, (z_i)_i) &= \sum_{z_i \in [x_i, y_i] \quad \forall i} \prod_j \mu_j(x_j, z_j) = \prod_i \left( \sum_{z_i \in [x_i, y_i]} \mu_i(x_i, z_i) \right) \\ &= \prod_i (\mu_i * U_i)(x_i, z_i) = \prod_i \delta_{x_i, z_i} = 0 \end{aligned}$$

□

We now define the *lower one-point compactification*  $X_{-\infty}$  of a poset  $X$ . Define  $X_{-\infty}$  to be the set  $X \cup \{-\infty\}$ , with the relation that  $-\infty < x \quad \forall x \in X$ .

**Proposition 6.** *Suppose  $X, Y$  are posets, with  $Y$  finite, and  $X$  locally finite. Let us define a new poset  $Z$  by “superimposing”  $X$  after  $Y$ . In other words,  $y < x$  for all  $y \in Y, x \in X$ . Then the Möbius functions are related as follows: define, for each  $y \in Y$ , the integer  $n_y = \sum_{y' \geq y} \mu_Y(y, y')$ . Then for all  $y, y' \in Y, x, x' \in X$ , we have*

$$\mu_Z(y, y') = \mu_Y(y, y'), \quad \mu_Z(x, x') = \mu_X(x, x'), \quad \mu_Z(y, x) = n_y \mu_{X_{-\infty}}(-\infty, x)$$

*Proof.* The first two assertions follow from one of the parts of the previous proposition, so it remains to show the last part. Let us now prove that  $\sum_{z \in [y, x]} \mu_Z(y, z) = 0$ ; this completes the proof. We observe that

$$\begin{aligned} \sum_{z \in [y, x]} \mu_Z(y, z) &= \sum_{z \in Y \cap [y, x]} \mu_Z(y, z) + \sum_{z \in X \cap [y, x]} \mu_Z(y, z) = n_y + \sum_{z \in X \cap [y, z]} n_y \mu_{X_{-\infty}}(-\infty, z) \\ &= n_y \mu_{X_{-\infty}}(-\infty, -\infty) + n_y \sum_{z \in X \cap [y, z]} \mu_{X_{-\infty}}(-\infty, z) \\ &= n_y \sum_{z \in X_{-\infty} \cap [-\infty, x]} \mu_{X_{-\infty}}(-\infty, z) = n_y (U * \mu_{X_{-\infty}})(-\infty, x) = 0 \end{aligned}$$

□

**Corollary 2.** *In the same setup, suppose  $Y$  has a unique maximum element  $y_{max}$ . Then  $n_y = 0$  for all  $y \neq y_{max}$ , whence  $\mu(y, x) = 0$  for all  $x \in X, y \in Y \setminus \{y_{max}\}$ .*

A straightforward application is for  $Y = \{n, n+1\}$  and  $X = [n+2, \infty) \cap \mathbb{N}$ , under the partial order  $m \leq n$  if  $n - m \geq 0$ . Then we get immediately that  $\mu(n, n+1+m) = 0$  for all  $m \in \mathbb{N}$ , in the setup of Example 2.

*Proof.* This is because  $n_y = \sum_{y \in [y, y_{max}]} \mu(y, z) = (U * \mu_Y)(y, y_{max}) = \delta_{y, y_{max}} = 0$ . □

We conclude by computing the classical Möbius function on  $\mathbb{N}$ .

**Corollary 3.** *The classical Möbius function for  $(\mathbb{N}, \cdot)$  is  $(-1)^r$  at a product of any number  $r \geq 0$  of distinct primes, and 0 otherwise.*

*Proof.* For each prime  $p \in \mathbb{N}$ , let  $X_p$  be the set  $\{1, p, p^2, \dots\}$ . Then the partial order on  $\mathbb{N}$  is induced from the one on the “restricted product” of the  $X_p$ ’s, and each set  $X_p$  is poset-isomorphic to the set  $\mathbb{N}$  under the usual ordering  $\leq$ .

Moreover, if  $n = \prod_{i=1}^r p_i^{n_i}$  for  $n_i > 0$ , then we see that

$$\mu_{\mathbb{N}}(n) = \mu(1, n) = \prod_{i=1}^r \mu_{p_i}(1, p_i^{n_i})$$

Therefore  $\mu(n)$  is nonzero if and only if each  $n_i \leq 1$ , and then we have  $\mu(n) = \prod_{i=1}^r \mu_{p_i}(1, p_i) = (-1)^r$  from earlier results.  $\square$

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